TD ANGRATUS	4 T	Application Number	10/524,750
TRANSMITTAL FORM		Filing Date	August 13, 2003
FORIVI (to be used for all correspondence after initial filing)		First Named Inventor	Martin et al.
		Group Art Unit	To Be Assigned
		Examiner Name	To Be Assigned
Total Number of Pages in This Submiss	on 47	Attorney Docket Number	3213/104
	ENCLOSU	RES (check all that apply)	
Fee Transmittal Form	-	nent Papers	After Allowance Communication to Group
Fee Attached	Drawing	Application) v(s)	Appeal Communication to Board of Appeals and Interferences
Amendment / Reply (\$)	l —	tion and Power of Attorney	Appeal Communication to Group (\$) (Appeal Notice, Brief, Reply Brief)
☐ After Final	☐ Licensin	ng-related Papers	Proprietary Information
Affidavits/declaration(s)	Petition	(\$)	Status Letter Application Data Sheet
Extension of Time Request (\$	Petition Applica	to Convert to a Provisional	Request for Corrected Filing Receipt with
Express Abandonment Request	☐ Power o	f Attorney, Revocation of Correspondence Address	Enclosures  A self-addressed, prepaid postcard for
Information Disclosure Statement (\$_	)   -	al Disclaimer (\$)	acknowledging receipt  Check in the amount of \$
Certified Copy of Priority Document(s)	☐ Request	for Refund	Communication
Response to Notification of Missing	CD, Nu	mber of CD(s)	Statements in Accordance With 37 C.F.R. § 1.821
Requirements Under 35 U.S.C. 371 (\$ )			3.5" computer readable diskette containing Sequence Listing
A copy of the Notification of Mis	sing		Sequence Listing (34 pages)
Requirements Under 35 U.S.C. 371		i	
	Remarks		nereby authorized to charge any additional fees ayments to Deposit Account No. 14-1138 for the
		above identified docket nun	ber.
	ATURE OF APPL	ICANT, ATTORNEY, O	R AGENT
or No.	ael L. Goldman  Peabody LLP		
Clint	on Square, P.O. B		
	ester, New York hone: (585) 263-		
	(585) 263-1600	1504	
Signature	Lulus	J. Dolly	Registration No. 30,727
Date	aly 21,	coos	
CERTIFICA	TE OF MAIL INC	G OR TRANSMISSION	[37 CFR 1 8(a)]
I hereby certify that this correspon			[2. 2.1. 1.0(0)]
	_	vice on the date shown	pelow with sufficient postage as first
	addressed to: Ma		oner for Patents, P. O. Box 1450,
☐ transmitted by facsimile	on the date shown	below to the United Sta	tes Patent and Trademark Office at
7/21/15	<u>_</u> ·	18 80	idul Ramy
Date			Signature
			endy L. Barry
		Туре	d or printed name

PATENT Docket No.: 3213/104

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

Martin et al.

Examiner: To Be Assigned

Serial No.

10/524,750 based on PCT/US03/25247, filed

)

August 13, 2003

Art Unit:

For

BACTERIAL EFFECTOR PROTEINS

WHICH INHIBIT PROGRAMMED CELL

**DEATH** 

To Be Assigned

#### **COMMUNICATION**

Mail Stop: PCT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

In the Notification of Missing Requirements, dated June 13, 2005, for the above-identified application, it was indicated that additional fees of \$50.00 were due to be paid. Since Applicants believe that all required fees were paid at the time of filing the subject application, a phone call was placed to Paulette Kidwell on July 21, 2005 at the U.S. Patent and Trademark Office to inquire about the reason for the additional fees due.

In a phone conversation between Ms. Kidwell and Bernice McGlynn, a paralegal in the office of the undersigned attorney, it was determined that no additional fees were due, and that the amount of \$50.00 indicated on the Notification of Missing Requirements should be disregarded. Ms. Kidwell advised Ms. McGlynn that incorrect PTO fee codes had been applied to the fees paid at the time of filing the subject application, and that the fee codes would be corrected.

Please apply any credit of overpayment, or additional fees due, in regard to this submission to our Deposit Account No. 14-1138.

Date: July 20, 2005

Michael L. Goldman Registration No. 30,727

Attorney for Applicants

Nixon Peabody LLP

Clinton Square, P.O. Box 1051 Rochester, New York 14603

Telephone: (585) 263-1304

Facsimile: (585) 263-1600

Certificate of Mailing - 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450, on the date be

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### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS

		**************************************		
	U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY, DOCKET NO.	
	10/524,750	Gregory B Martin	3213/104	

INTERNATIONAL APPLICATION NO. PCT/US03/25247

Michael L Goldman Nixon Peabody Clinton Square . P O Box 31051

ENTERED Nixon Peabody LLP

I.A. FILING DATE 08/13/2003

PRIORITY DATE 08/16/2002

Rochester, NY 14603-1051

JUN: 2 0 2005

**CONFIRMATION NO. 6908 371 FORMALITIES LETTER** \*OC000000016252887\*

\*OC000000016252887\*

Date Mailed: 06/13/2005

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 02/15/2005
- Biochemical Sequence Listing filed on 02/15/2005
- Request for Immediate Examination filed on 02/15/2005
- U.S. Basic National Fees filed on 02/15/2005
- Priority Documents filed on 02/15/2005
- Specification filed on 02/15/2005
- Claims filed on 02/15/2005
- Abstracts filed on 02/15/2005
- Drawings filed on 02/15/2005

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

• Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$-50 for a Small Entity:

 A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000), Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

(A previous payment of \$50 will be applied to the additional fees indicated above.)

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

PAULETTE R KIDWELL

Telephone: (703) 308-9140 EXT 216

### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO
10/524,750	PCT/US03/25247	3213/104

FORM PCT/DO/EO/905 (371 Formalities Notice)